



Accredited Listed Product Adviser (ALPA) Program

Program outline

Program overview

Kaplan Professional, together with the Australian Securities Exchange (ASX), offers the Accredited Listed Product Adviser (ALPA) program to help students gain a detailed understanding of the characteristics of offered products in order to learn how to apply these to a wealth management strategy.

The ALPA program focuses on the range of ASX products including equities, interest rate securities, managed investments, warrants and options. The ALPA program also introduces the concepts of listed managed investments and ASX-traded unlisted managed funds, explaining both exchange-traded products (ETPs) and the ASX mFunds settlement service.

Learning outcomes

On successful completion of the Accredited Listed Product Adviser (ALPA) Program, students should be able to:

- Describe the processes and systems underlying the trading of securities on the ASX
- Explain the different types of equity securities and interest rate products listed on the ASX
- Understand the features of exchange-traded and over-the-counter derivative products and be able to compare them
- Describe the features of instalment warrants and how they can be used
- Describe the managed investment products and how they can be used
- Discuss the asset allocation process, the risks associated with investments and the advantages of portfolio diversification.

Topics

Topic 1: Trade and portfolio fundamentals

Topic 2: Equities and interest rate products

Topic 3: Derivatives

Topic 4: Structured products

Topic 5: Managed investments

Topic 6: Portfolio construction

Units of competency

There are no units of competency awarded for this program.

Program entry requirements

There are no entry requirements for this program. However, due to the nature of this program, it is recommended students have previously completed RG 146 Studies in securities and managed investments. Derivatives is also preferable although not required.



Program duration

Students have a total of:

- 12 weeks to complete the learning and assessment requirements for Accredited Listed Product Adviser (ALPA) Program from their initial activation date.
- An additional four (4) weeks resubmission time will be granted if the exam has been attempted and the student has been deemed not yet competent. This time can be used to re-sit the exam.

Therefore, if a student completes the learning and assessment and the resubmission time is granted, the duration will be 16 weeks.

Delivery mode

This program is delivered online.

Kaplan Professional's online learning portal KapLearn enables the provision of innovative, interactive learning resources and support. KapLearn can be accessed anywhere at any time using a computer or mobile device that has internet access. Students are also provided with hard copy program notes for their reference.

KapLearn is the access point for program notes and the assessment.

Assessment tasks

The assessment task for this program is listed below:

Subject	Topic	Assessment	Assessment Detail
Accredited Listed Product Adviser (ALPA) Program	Topics 1-6	1 Exam	Supervised, open book multiple choice examination, three (3) attempts.

Students may attempt the exam at any time if they believe they are adequately prepared.

Students who complete the ALPA Program will be able to print a certificate of completion from their online subject room.

Recognition of Prior Learning

Recognition of prior learning (RPL) is not available for this course.

Fees

An updated schedule of fees is available at the Kaplan Professional website <www.kaplanprofessional.edu.au>.

Student policies

Students who enrol in a Kaplan Professional program are bound by our published policies, including the policy that sets out our rules for assessment. Students can review these policies and our terms and conditions before they enrol by going to the Kaplan Professional website: <www.kaplanprofessional.edu.au>. The policies also assist students when their study program does not go as planned and they need extra support.